



PTO/SB/17 (10-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 2768.00

## Complete if Known

Application Number 09/785,548  
Filing Date 02/20/2001  
First Named Inventor Koutnikova  
Examiner Name  
Group Art Unit  
Attorney Docket No. ST00005

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-1129  
Deposit Account Name David J. Kulik

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 740.00

### 2. EXTRA CLAIM FEES

Total Claims 31 -20\*\* = 11 X Fee from below 18.00 = 198.00  
Independent Claims 3 -3\*\* = 0 X  
Multiple Dependent

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 198.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	130.00
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	1440.00
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	130.00
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$) 1830.00			

## SUBMITTED BY

Name (Print/Type) David J. Kulik

Signature

Registration No. 36,576  
(Attorney/Agent)

## Complete (if applicable)

Telephone 202.719.7000

Date 10/31/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Sector #

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/785,548
	<b>Filing Date</b>	Feb. 20, 2001
	<b>First Named Inventor</b>	Koutnikova
	<b>Group Art Unit</b>	
	<b>Examiner Name</b>	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> ST00005

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  See remarks
<b>Remarks</b> Certified English translation; Executed Declaration; Clean Copy of Application; Sequence Listing and Disc; Drawings and Petition for Color Drawings; Preliminary Amendment		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
<b>Firm or Individual name</b>	David J. Kulik, Wiley Rein & Fielding, LLP
<b>Signature</b>	
<b>Date</b>	October 31, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text"/>			
<b>Typed or printed name</b>			
<b>Signature</b>		<b>Date</b>	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT  
Atty Docket ST00005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Hana KOUTNIKOVA *et al.*,

Appl. No.: 09/785,548

Filed: February 20, 2001

For: COMPOSITIONS WHICH CAN BE USED  
FOR REGULATING THE ACTIVITY OF PARKIN

Group Art Unit: To be assigned

Examiner: To be assigned

Commissioner for Patents  
Washington, D.C. 200231

Sir:

**SUBMISSION OF FORMAL DRAWING  
AND PETITION TO ENTER COLOR DRAWINGS**

In response to the Notice to File Missing Parts dated May 2, 2001, applicants submit herewith corrected, substitute drawings complying with 37 C.F.R §§ 1.84 and 1.85 (Figs. 1-7, 8A-1, 8A-2, 8A-3, 8B-1, 8B-2, 8B-3, 9, and 10). Applicants also petition for entry of color Figures 8A-1, 8A-2, 8A-3, 8B-1, 8B-2, 8B-3.

The original drawings have been translated into English and a certified translation of them is filed on even date in this application. The Formal Drawings incorporate the English text. The Formal Drawings also correct the marked-up text noted in the Notice to File Missing Parts. Applicants respectfully request that these Formal Drawings be entered into the application. No new matter enters with these corrected Drawings.

The color Figures are submitted in compliance with 37 C.F.R. § 1.83 and are photographs, where color images show the detail of subject best. Three copies of each color figure are submitted. The petition fee of \$ 130.00 is submitted in the attached Fee Transmittal and check.

11/02/2001 AHONDAF1 00000006 09785548

05 FC:122

130.00 0P

If there are any fees or petitions required with this paper or to enter the Drawings, applicants respectfully petition the Commissioner and the Commissioner is hereby authorized to take any required fess from Deposit Account no. 50-1129.

Respectfully submitted,  
WILEY REIN & FIELDING LLP

Date: October 31, 2001

By:

  
David J. Kulik Reg. No. 36,576

**WILEY REIN & FIELDING LLP**  
Intellectual Property Department  
1776 K Street, NW  
Washington, D.C. 20006  
Tel: (202) 719.7000  
Fax: (202) 719.7049

1048551-404



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/785,548	02/20/2001	Hana Koutnikova	ST00005

CONFIRMATION NO. 5202

## FORMALITIES LETTER



\*OC00000006030459\*

26118  
BROBECK, PHLEGER & HARRISON, LLP  
ATTN: INTELLECTUAL PROPERTY DEPARTMENT  
1333 H STREET, N.W. SUITE 800  
WASHINGTON, DC 20005

Date Mailed: 05/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/02/2001 AHONDAF1 00000006 09785548

01 FC:401	740.00 OP	FILED UNDER 37 CFR 1.53(b)	12/11/2001 YGIZAH	00000002 501129	09785548
02 FC:105	130.00 OP				
03 FC:103	198.00 OP				
04 FC:139	130.00 OP				
		<b>Filing Date Granted</b>	01 FC:102	84.00 CH	

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence

listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

---

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

In response to the Notice to File Missing Parts of Nonprovisional Application mailed May 2, 2001, applicants hereby submit the following:

- ☒ Filing fee under 37 C.F.R. § 1.16(a)  
\$ 740.00
- ☒ Executed Declaration
- ☒ Surcharge under 37 C.F.R. § 1.16(e) for the late filing of the executed declaration  
\$ 130.00
- ☒ Copy of Notice of Missing Parts
- ☒ Certified translation into English language (specification, Sequence Listing, and Figures)
- ☒ Fee under 37 C.F.R. § 1.17(k) for processing specification in non-English language  
\$ 130.00
- ☒ Formal Drawings, incorporating English text, Petition and fee to enter Color Drawings  
\$ 130.00
- ☒ Sequence Listing and computer readable form of Sequence Listing
- ☒ Statement that Sequence Listing and computer readable form is the same
- ☒ Complete copy of English language application
- ☒ Additional Claim fee of \$ 198.00 (based on claims in Preliminary Amendment, filed herewith)

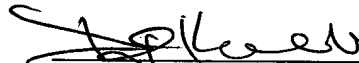
Applicants have provided for a four-month extension fee and the required fees above and in the accompanying papers. No additional extension of time fees, requests for extension of time, petitions, extra claim fees, or additional fees are believed to be necessary to enter and consider this paper or any accompanying paper. If, however, any petitions, requests for extensions of time, or any fees are required in order to enter or consider this paper, enter or consider any paper accompanying this paper, or keep this application pending, including any fees

for net addition of claims, applicants hereby request any extensions or petitions necessary and the Commissioner is hereby authorized to charge our Deposit Account no. 50-1129 for any fees.

Respectfully submitted,  
Wiley Rein & Fielding LLP

Date: October 31, 2001

By:



David J. Kulik, Reg. No. 36,576

Floyd B. Chapman, Reg. No. 40,555

**Wiley Rein & Fielding LLP**  
Intellectual Property Department  
1776 K Street N.W.  
Washington, D.C. 20006  
**Telephone: 202.719.7000**  
**Facsimile: 202.719.7049**

WRFMAIN 1057752.1